IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	
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Liu)	Before the Examiner
)	Not yet known
Serial No. Filed Herewith)	
)	Group Art Unit
Filed June 11, 2003)	Not yet known
)	•
SPINAL IMPLANT METHOD AND CUTTING)	
CUTTING TOOL PREPARATION ACCESSORY)	•
FOR MOUNTING THE IMPLANT	j .	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure in accordance with 37 CFR §1.56, Applicant wishes to bring to the attention of the Examiner the patents, publications, and/or other information listed on the attached PTO Form 1449 (modified). All the references listed on the attached Form were cited in the pending priority US application. Consequently, copies of these references have not been included with this Statement. Should the Examiner desire copies of the references, the undersigned attorney will gladly forward them.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

004002-003300.JBM.224376 Atty. Docket 4002-3300

This Statement is being submitted concurrently with the filing of the application. It is believed that no fee is required for consideration of the submitted items. Should any fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

James **B**. Myers Jr., Reg. No. 42,02

Woodard, Emhardt, Moriarty, McNett & Henry LLP

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PTO/SB/08A (12/01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE				Application Number	Filed Herewith	
				Filing Date		
1	STATEMENT BY APPLICANT			First Named Inventor	Liu	
	(use as many sheets as necessary)			Group Art Unit		
				Examiner Name		
Sheet	1	of	2	Attorney Docket No.	4002-3300	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines where Relevant Passages or Relevant	
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	1	4,349,921	09-1982	Kuntz		
	2	4,834,757	05-1989	Brantigan		
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	4	5,015,247	05-1991	Michelson		
	5	5,062,845	11-1991	Kuslich et al.		
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¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ⁵ Kind of document by the apopropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT				First Named Inventor	Liu	
	(use as many sheets as necessary)			Group Art Unit		
(======,,			,,	Examiner Name		
Sheet	2	of	2	Attorney Docket No.	4002-3300	

Examiner Cir		Foreign Patent Document	Publication Date	Name of Patentee or Application of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	T⁵
initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Document Document	Figures Appear	Ľ
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	32	EP 0637440A1	02-1995			
	33	WO 95/08306	03-1995			
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	35	FR 9504789A1	03-1996			
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Page 1 of 2

ATTY. DOCKET NO.	SERIAL NO.
4002-2167	,09/420,622
APPLICANT Mingyan Lui et al.	
FILING DATE	GROUP
October 19, 1999	3748

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Page 1 of 2

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APPLICANT Mingyan Liu et al	
FILING DATE October 19, 1999	GROUP 3748

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	4002-2167	09/420,622			
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Page 2 of 2	FILING DATE	GROUP			
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Page 1 of 2

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Page 1 of 1

ATTY. DOCKET	NO.	3EI
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3ERIAL NO. 09/420,622

APPLICANT Mingyan Liu et al

FILING DATE October 19, 1999 Examiner Barrett GROUP 3738

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DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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Application/Control No. Applicant(s)/Patent Under Reexamination 09/420,622 LIU ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 3738 Thomas C. Barrett **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-4,834,757 05-1989 623 17 Α Brantigan US-С US-US-D US-Ε F US-G US-Н US-US-US-J US-Κ US-L М US-FOREIGN PATENT DOCUMENTS Document Number Country Code-Number-Kind Code Date Classification Name Country MM-YYYY Ν 0 Ρ Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U W

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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Page 1 of 1						Filing Date	Mingyan Liu et al Filing Date Group				
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Applicant's Substitute for 1449A'

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1

Complete if Known					
Application Number	09/420,622				
Filing Date	October 19, 1999				
First Named Inventor	Mingyan LIU				
Group Art Unit	3748				
Examiner Name	Barrett				
Attorney Docket Number	4002-2167				

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	U.S. Patent Document Number Kind Code ¹ (# tenown)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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